Confidential Profile

LEXINGTON WEALTH MANAGEMENT

Title | Your Full Name | Known As

Birth Date | Place of Birth | N.I. Number | Previous Name

Nationality / Dual Nationality | Marital Status

Do you have any children? ☐ Yes ☐ No

Contact Details

Home Address

Home Telephone | Mobile Telephone | Private Email

Occupation

☐ In Education ☐ Employed ☐ Self-Employed ☐ Not Employed

Job Title | Employer | Start Date

Do you have an investment philosophy?

What would you like to achieve when working with a financial planner?

Do you have any strong investment beliefs that you would like for us to adhere to?

Considering the likelihood that additional restrictions are likely to result in additional risks and costs.

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Do you have any vulnerabilities or disabilities that you feel that you need additional support in making financial decisions?

For example, a vulnerability or disability could be (but not limited to) depression, dyslexia, dyspraxia, illness, etc.

Do you consider yourself as having a vulnerability or disability? ☐ Yes ☐ No

If yes, please provide further details below:

Declaration

Financial Services and Markets Act 2000

Regulated financial planners are required to have proper regard for a client's best interests in any advice given. They must therefore do their utmost to ensure that they are aware of your personal and financial circumstances so that their advice is the most suitable for your needs. The questions here have been specifically designed to help Lexington provide advice that meets your needs. If, for any reason, you decline to answer any or all of the questions or if you fail to provide true and accurate information to the best of your knowledge, the advice given subsequently may not be best advice, as it can only be based on the information provided.

Data Protection Act 2018 – Disclosure of Information

The information given in this document and other information subsequently provided will be retained on computer for reference purposes and will be held in accordance with the Data Protection Act 2018 (DPA 2018), and the General Data Protection Regulation (GDPR) as it applies in the UK. The details may be passed to the regulatory authorities and auditors for the purpose of compliance.

☐ I confirm that the information given and recorded on this form is correct and understand that it shall form the basis for all advice offered.

☐ I have received Lexington's Client Agreement, plus my adviser's direct contact details, and I understand Lexington Wealth Management is authorised and regulated by the Financial Conduct Authority.

	You:	Planner
Signature		
Print Name		
Date		